

**INFORMATION RELEASE FORM FOR INSTITUTIONS AND AFFILIATES OF INSTITUTIONS
REGULATED BY THE EASTERN CARIBBEAN CENTRAL BANK**

----- (hereinafter referred to as the applicant)
full legal name of the applicant

hereby authorises the Eastern Caribbean Securities Regulatory Commission (the Commission) to request from the Eastern Caribbean Central Bank (ECCB) any document and/or information, financial or otherwise, that the Commission may deem necessary in the review of our application to the Commission for a licence to operate as a securities exchange.

The applicant also hereby authorises the ECCB to provide to the Commission, on its request, any document and/or information, financial or otherwise, that the Commission requests.

The applicant hereby indemnifies the Commission for any liabilities that it may incur in requesting the information authorised to be requested in this release form. The applicant also indemnifies the ECCB for any liabilities that it may incur in releasing the information authorised for release in this release form.

The applicant recognises that in accordance with Section 132 (1) of the Securities Act, 2001, the provision of information to the Commission is the primary obligation of the applicant, and the completion of this release form does not affect or diminish the right of the Commission to seek any information it may deem necessary from the applicant.

(Name of Chairman of the Board of Directors)

(Name of General Manager)

(Signature of the Chairman of the Board of Directors)

(Signature of General Manager)

Dated day of 20

Dated day of 20

(Name of the Justice of the Peace/Notary Public/Attorney-at-Law)

(Signature of the Justice of the Peace/Notary Public/Attorney-at-Law)

Dated this _____ day of _____ 20____