

**EASTERN CARIBBEAN  
SECURITIES REGULATORY COMMISSION**



**RENEWAL OF LICENCE APPLICATION FORM**  
*[Individuals]*

---

----- licensee number -----  
*full name of licensee*

operating as a ----- out of the territory of  
*type of licence held*

----- hereby apply to the Eastern Caribbean  
Securities Regulatory Commission, pursuant to Section 66 (1) (h) of the Securities Act, 2001, to  
renew its licence for the year ending -----  
*Anniversary date for the renewal*

The licensee hereby confirms that the applicable licence renewal fee is enclosed with this application.

\_\_\_\_\_  
Name of Applicant

\_\_\_\_\_  
Signature of Applicant

Dated \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_